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Substitute for form 1449A/PTO		<i>Complete If Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/455,805
		Filing Date	12/07/1999
		First Named Inventor	Susan D. Woolf et al.
		Group Art Unit	2176
		Examiner Name	Nguyen, M.
		Attorney Docket Number	003797.78802
Sheet	1	of	4

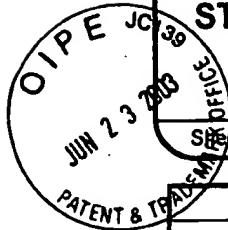
U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
/MN/		US- 5,434,965	07/18/1995	Matheny et al.	
↑		US- 5,572,643	11/05/1996	Judson	
		US- 5,632,022	05/20/1997	Warren et al.	
		US- 5,682,439	10/28/1997	Beernink et al.	
		US- 5,687,331	11/11/1997	Volk et al.	
		US- 5,717,879	02/10/1998	Moran et al.	
		US- 5,729,687	03/17/1998	Rothrock et al.	
		US- 6,181,344	01/30/01	Tarpenning et al.	
		US- 6,094,197	07/25/00	Buxton et al.	
		US- 5,745,116	04/28/1998	Pisutha-Arnold	
		US- 6,331,887	12/18/2001	Eberhard et al.	
		US- 6,156,287	03/12/2002	Ruberry et al.	
		US- 5,790,818	08/04/1998	Martin	
		US- 5,821,925	10/13/1998	Carey et al.	
		US- 5,948,040	09/07/1999	DeLorme et al.	
		US- 5,950,214	09/07/1999	Rivette et al.	
		US- 5,877,757	03/02/1999	Baldwin et al.	
		US- 5,978,818	11/02/1999	Lin	
		US- 6,321,244 B1	11/20/2001	Liu et al.	
↓		US- 6,405,221 B1	06/11/2002	Levine et al.	
/MN/		US- 5,931,912	08/03/1999	Wu et al.	

Examiner Signature	/Maikhánh Nguyễn/ (10/09/2007)	Date Considered	10/09/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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		Examiner Name	Nguyen, M.
Street	2	of	4
			Attorney Docket Number
			003797.78802



INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 4

U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
/MN/		US 5,845,262	12/01/1998	Nozue et al.	
↑		US 6,011,537	01/04/2000	Slotnick	
		US 5,760,773	06/02/1998	Berman et al.	
		US 6,560,621	05/08/2003	Barile	
		US 6,490,603 B1	12/03/2002	Keenan et al.	
		US 6,448,110 B1	09/03/2002	Lection et al.	
		US 6,535,294 B1	03/18/2003	Arledge, Jr. et al.	
		US 6,539,370 B1	03/25/2003	Chang et al.	
		US 6,301,590 B1	10/09/2001	Slow et al	
		US 6,205,455 B1	03/20/2001	Umen et al.	
		US 6,393,422 B1	05/21/2002	Wone	
		US 5,983,248	11/09/1999	DeRose et al.	
		US 5,937,416	08/10/1999	Menzel	
		US 5,933,140	08/03/1999	Strahorn et al.	
		US 6,012,055	01/04/2000	Campbell et al.	
		US 6,052,514	04/18/2000	Gili et al.	
		US 6,122,649	09/19/2000	Kanerva et al.	
		US 6,271,840 B1	08/07/2001	Finseth et al.	
		US 6,091,930	07/18/2000	Mortimer et al.	
		US 6,421,065 B1	07/16/2002	Walden et al.	
		US 6,279,005 B1	08/21/2001	Zellweger	
/MN/		US 6,081,829	06/27/2000	Sidana	

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FOREIGN PATENT DOCUMENTS

Examiner Signature	/Maikhahan Nguyen/ (10/09/2007)	Date Considered	10/09/2007
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and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.
³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 4 Attorney Docket Number 003797.78802

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		Number - Kind Code ² (if known)			
/MN/		US 5,801,887	09/01/1998	Peterson et al.	
/MN/		US 6,157,381	12/05/00	Bates et al.	
/MN/		US 6,035,330	03/07/2000	Astiz et al.	
/MN/		US 5,918,236	06/29/1999	Wical	
/MN/		US 6,049,812	04/11/2000	Bertram et al.	
/MN/		US 5,893,126	04/06/1999	Drews et al.	
/MN/		US 6,460,058 B2	10/01/2002	Koppolu et al.	
/MN/		US 6,018,334	01/25/2000	Eckerberg et al.	
/MN/		US 5,988,665	11/16/1999	Wrey et al.	
/MN/		US 5,920,694	07/06/1999	Carleton et al.	
/MN/		US 6,289,362	09/11/2001	Van Der Meer	
/MN/		US 6,018,742	01/25/2000	Herbert, III	
/MN/		US 6,054,990	04/25/2000	Tran	
/MN/		US 5,737,599	04/07/1998	Rowe et al.	
/MN/		US 5,832,263	11/03/1998	Hansen et al.	
/MN/		US 6,195,679 B1	02/27/2001	Bauersfeld et al.	
/MN/		US 2002/0097261 A1	07/25/2002	Gottfurcht et al.	

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Examiner Signature	/Maikhanh Nguyen/ (10/09/2007)	Date Considered	10/09/2007
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³Applicant's unique clause designation number (optional). See Kind Codes of WIPO Patent Document in Part 1.
⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08B(10-01)

Approved for use through 10/31/2002. OMB 0851-0031

Approved for use through 10/31/2022. GPO: 2021-084

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Sheet 4 of 4

<i>Complete if Known</i>	
Application Number	09/455,805
Filing Date	12/07/1999
First Named Inventor	Susan D. Woolf et al.
Group Art Unit	2176
Examiner Name	Nguyen, M.
Attorney Docket Number	003797.78802

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Signature	/Maikhánh Nguyễn/ (10/09/2007)	Date Considered	10/09/2007
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08b(05-03)

Approved for use through 04/30/2003. OMB 0651-0031

Approved for use through 09/30/2006. GPO 0-0001-0001
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

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Complete If Known	
Application Number	09/455,805
Filing Date	12/07/1999
First Named Inventor	Susan D. Woolf et al.
Group Art Unit	2176
Examiner Name	Nguyen, M.
Attorney Docket Number	003797 78802

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

—Markhamville

Date Considered

10/09/07

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and not considered. Include copy of this form with next communication to applicant.
1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#15

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Systems and Method for Annotating an Electronic Document Independently of its Content
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Application Number: 09/455805



Confirmation Number: 5591

First Named Applicant: Susan Woolf

Attorney Docket Number: 003797.78802

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DEC 01 2003

Art Unit: 2176

Technology Center 2100

Examiner: M Nguyen

Search string:
(5680636 or 5727129 or 5838914 or 5893132
or 6049812 or 6195694 or 6199082 or 6486895
or 6549220 or RE34476 or 5471568 or 5719595
or 5940080).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	5680636	1997-10-21	Levine et al.			
MK	2	5727129	1998-03-01	Barrett et al.			
MK	3	5838914	1998-11-17	Carleton et al.			
MK	4	5893132	1999-04-06	Huffman et al.			
MK	5	6049812	2000-04-11	Bertram et al.			
MK	6	6195694	2001-02-27	Chen et al.			

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11/28/2003 GUARDIAN 01 FC:1806

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page 1 of 2

MK	7	6199082	2001-03-06	Ferrel et al.
MK	8	6486895	2002-11-26	Robertson et al.
MK	9	6549220	2003-04-15	Hsu et al.
MK	10	RE34476	1993-12-14	Norwood
MK	11	5471568	1995-11-28	Webb et al.
MK	12	5719595	1998-02-17	Hoddie et al.
MK	13	5940080	1999-08-17	Ruehle et al.

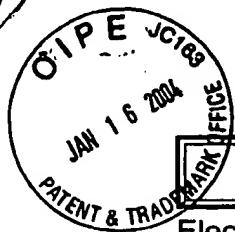
Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document should it be applied as a reference against the claims of the present application. It is respectfully requested that the Examiner fully consider the document, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference. The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Malvankar, Jayesh</i>	10/09/07



#16

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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Title of Invention	System and Method for Annotating an Electronic Document Independently of its Content
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Application Number: 09/455805



Confirmation Number: 5591

First Named Applicant: Susan Woolf

Attorney Docket Number: 003797.78802

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Art Unit: 2176

Examiner: M Nguyen

Search string: (5437295 or 6018342 or 6647534).pn.

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	5437295	1994-09-13	Agnulnick et al.			
MK	2	6018342	2000-01-25	Bristor			
MK	3	6647534	2003-11-11	Graham			

01/21/2004 SSESHE1 0000001 190733 09455805

Remarks

01 FC:1806 180.00 DA

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Examiner Name	Date
Muthiahnguyen	10/09/07

03/04/2004

PTO/SB/08a (05-03)

Approved for use through 04/30/2003, OMB 0651-0931

Applicant for use through 04/30/2003. USPTO 0031-0001
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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				Art Unit	2176
				Examiner Name	Nguyen, Maikhanh
				Attorney Docket Number	003797.78802
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Sheet	1	of	1		

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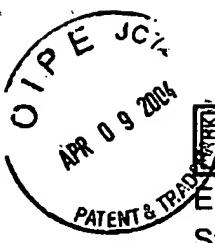
Examiner Signature	<i>Mark Hansen</i>	Date Considered.	10/03/2007
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First Named Applicant: Susan Woolf

Attorney Docket Number: 003797.78802

Art Unit: 2176

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init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	6697997	2004-02-24	Fujimura			
MK	2	6585776	2003-07-01	Bates et al.			
MK	3	6457013	2002-09-24	Saxton et al.			
MK	4	6370497	2002-04-09	Knowles			
MK	5	6266772	2001-07-24	Suzuki			
MK	6	6163778	2000-12-19	Fogg et al.			
MK	7	6038598	2000-03-14	Danneels			

04/12/2004 GWD/ODF1 00000132 190733 09455805
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05/13/2004



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Title of Invention	System and Method for Annotating an Electronic Document Independently of its Content
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Application Number: 09/455805
Confirmation Number: 5591
First Named Applicant: Susan Woolf
Attorney Docket Number: 003797.78802
Art Unit: 2176
Examiner: M Nguyen
Search string: (6076917 or 6154771 or 6437793).pn.

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MAY 17 2004

Technology Center 2100

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	6076917	2000-06-20	Wen			
MK	2	6154771	2000-11-28	Rangan et al.			
MK	3	6437793	2002-08-20	Kaasila			

Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with

the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document(s) should it be applied as a reference(s) against the claims of the present application. It is respectfully requested that the Examiner fully consider the document(s), initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document(s) has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Accordingly, a single fee of \$180 is believed to be due pursuant to 37 CFR 1.97(d) and 1.17(p) for consideration of this reference(s). The Office is authorized to charge Deposit Account No. 19-0733 for this fee. If there are any discrepancies regarding this fee, please charge or credit Deposit Account No. 19-0733.

Signature

Examiner Name	Date
Malchankhaa Uulen	10/09/2007

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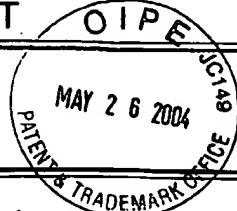
MAY 17 2004

Technology Center 2100

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

05/26/2004



Title of Invention

System and Method for Annotating an Electronic Document
Independently of its Content

Application Number: 09/455805 

Confirmation Number: 5591

First Named Applicant: Susan Woolf

Attorney Docket Number: 003797.78802

Art Unit: 2176

Examiner: M Nguyen

Search string: (5822720).pn.

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MAY 28 2004

Technology Center 2100

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	5822720	1998-10-13	Bookman et al.			

Remarks

Note: Remarks are not for responding to an office action.

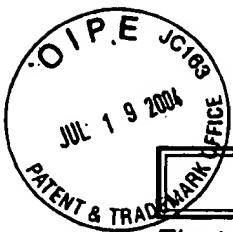
In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed document(s) should it be applied as a reference(s) against the claims of the present application. It is respectfully requested that the Examiner fully consider the document(s), initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document(s) has been considered, and return a copy of the initialed form to the

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Signature

Examiner Name	Date
<i>Malchankhuyan</i>	10/09/2007

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07/19/2004

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18
Stylesheet Version v18.0

Title of Invention	System and Method for Annotating an Electronic Document Independently of its Content
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Application Number: 09/455805 
Confirmation Number: 5591
First Named Applicant: Susan Woolf
Attorney Docket Number: 003797.78802
Art Unit: 2176
Examiner: M Nguyen
Search string: (6144991).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	6144991	2000-11-07	England			

Remarks

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In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish

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Signature

Examiner Name	Date
Maichanhng u/en	10/09/2007

09/15/2004

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	System and Method for Annotating an Electronic Document Independently of its Content						
Application Number :	09/455805						
Confirmation Number:	5591						
First Named Applicant:	Susan Woolf						
Attorney Docket Number:	003797.78802						
Art Unit:	2176						
Examiner:	Maikhanh Nguyen						
Search string:	(5630125 or 5689717 or 5838313 or 5862395 or 5933139 or 6133925 or 6184886 or 6211871 or 6425525 or 6484156 or 6529920 or 6571211 or 6760884 or 20010031128).pn						
Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:							
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US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	5630125	1997-05-13	Zellweger			
MK	2	5689717	1997-11-18	Pritt			
MK	3	5838313	1998-11-17	Hou et al.			
MK	4	5862395	1999-01-19	Bier			
MK	5	5933139	1999-08-03	Feigner et al.			
MK	6	6133925	2000-10-17	Jarecko et al.			
MK	7	6184886	2001-02-06	Bates et al.			
MK	8	6211871	2001-04-03	Himmel et al.			
MK	9	6425525	2002-07-02	Swaminathan et al.			
MK	10	6484156	2002-11-19	Gupta et al.			
MK	11	6529920	2003-03-04	Arons et al.			
MK	12	6571211	2003-05-27	Dwyer et al.			
MK	13	6760884	2004-07-06	Vertelney et al.			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
MK	1	20010031128	2001-10-18	Manbeck			

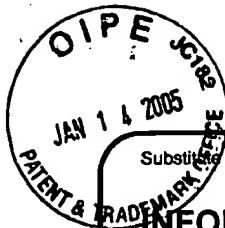
Remarks

Note: Remarks are not for responding to an office action.

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Signature

Examiner Name	Date
Manbeck	10/09/2007



PTO/SB/08a (08-03)

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

~~DEMIN~~
**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete If Known	
Application Number	10/455,805
Filing Date	12/07/1999
First Named Inventor	Susan D. Woolf et al.
Art Unit	2176
Examiner Name	Nguyen, Maikhanh
Attorney Docket Number	003797.78802

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	<i>Makhanraj wren</i>	Date Considered	10/09/2007
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**EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.*

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete. Including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

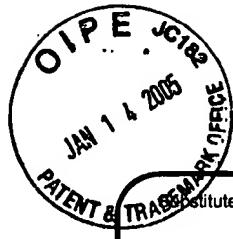
Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(c). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Malvanchinguyen</i>	10/09/2027



PTO/SB/08b(08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of | 2

Complete if Known	
Application Number	09/455,805
Filing Date	12/07/1999
First Named Inventor	Susan D. Woolf et al.
Art Unit	2176
Examiner Name	Nguyen, Maikhanh
Attorney Docket Number	003797.78802

NON PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

Markenquellen

Date Considered

10/09/2007

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1. Applicant's unique citation designation number (optional).² Applicant is to place a check mark here if English language Translation is attached.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language translation is attached.
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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02/11/2005

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Substitute for form 1449A/PTO

Complete If Known

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

1

Application Number

09/455,805

Filing Date

12/7/1999

First Named Inventor

Susan D. Woolf et al.

Group Art Unit

2176

Examiner Name

Maikhanh Nguyen

Attorney Docket Number

003797.78802

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
MK		5,826,025		Gramlich	10/1998	
MK		5,982,370		Kamper	11/9/99	
MK		6,714,214		DeMello	3/2004	
MK		5,761,485		Munyan	6/1998	

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
MK	EP	0342838	A	IBM	11/23/89			X

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MK		Marshall, C., "Annotation from paper books to the digital library," ACM International Conf. on Digital Libraries, 1997, pp. 131-140	
MK		"Joke eBook," 7/1998, PrimaSoft PC, Inc., Electronic Book Series Version 1.0, pp. 1-5	
MK		Munyan, D., "Everybook, Inc: Developing the E-Book in Relation to Publishing Standards," 09/1998, http://www.futureprint.kent.edu/articles/munyan01.htm , pp. 1-7	

Examiner Signature

Maikhanh Nguyen

Date Considered

10/09/2007

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

09/21/2005

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for ~~Form 10~~ 10-100-10

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

<i>Complete if Known</i>	
<i>Application Number</i>	09/455,805
<i>Filing Date</i>	December 7, 1999
<i>First Named Inventor</i>	Susan D. WOOLF
<i>Art Unit</i>	2176
<i>Examiner Name</i>	M. Nguyen
<i>Attorney Docket Number</i>	003797.78802

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
MK		US- 5,237,648	08/1993	Mills, et al.	
MK		US- 5,761,683	06/1998	LOGAN, et al.	
MK		US- 5,786,814	07/1998	Moran, et al.	
MK		US- 5,923,326	07/1999	Bittinger, et al.	
MK		US- 5,924,104	07/1999	EARL	
MK		US- 6,025,841	02/2000	Finkelstein, et al.	
MK		US- 6,141,007	10/2000	Lebling, et al.	
MK		US- 6,151,622	11/2000	FRAENKEL, et al.	
MK		US- 6,320,169	11/2001	Clothier, Brian L.	
MK		US- 6,331,866	12/2001	Eisenberg, Peter M.	
MK		US- 6,437,807	08/2002	Berquist, et al.	
MK		US- 6,549,878	04/2003	Lowry, et al.	
MK		US- 6,662,310	12/2003	Lopez, et al.	
MK		US-6,772,139	08/2004	SMITH	
MK		US-6,788,316	09/2004	MA, et al.	

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
MK		EP 0 890 926 A1	01/1999	Ishikawa		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

CITATION RICK ART - NON-FAVORITE CITATION DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T 2
MK		C. Marshall, "Annotation from paper books to the digital library," ACM International Conf. on Digital Libraries, 1997, pp. 131-140.		
MK		"Joke eBook," 7/1998, PrimaSoft PC, Inc., Electronic Book Series Version 1.0, pp. 1-5.		
MK		D. Munyan, "Everybook, Inc: Developing the E-Book in Relation to Publishing Standards." 09/1998, http://www.futureprint.kent.edu/articles/munyan0.1.htm , pp. 1-7.		
		PhotoImpact 3.0, Ulead Systems Inc., 1996.		

Examiner Signature	Malkhanhnguyen	Date Considered	10/09/07
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 804. Draw line through citation if not in conformance and not considered. Include copy of this form with next COMMUNICATOR to applicant. *Applicant's unique citation designation number (optional). See [Kinds Codes of USPTO Patent Documents at www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. *Applicant is to place a check mark here if English language Translation is attached.

06/03/2005

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	System and Method for Annotating an Electronic Document Independently of its Content						
Application Number :	09/455805						
Confirmation Number:	5591						
First Named Applicant:	Susan Woolf						
Attorney Docket Number:	003797.78802						
Art Unit:	2176						
Examiner:	Maikhahan Nguyen						
Search string:	(5390138 or 6636238 or 6055538 or 5801685 or 5644674 or 6144375 or 6418421 or 20020194260).pn						
Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:							
That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.							
US Patent Documents							
Note: Applicant is not required to submit a paper copy of cited US Patent Documents							
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	5390138	1995-02-14	Milne et al.			
MK	2	6636238	2003-10-21	Amir et al.			
MK	3	6055538	2000-04-25	Kessenich et al.			
MK	4	5801685	1998-09-01	Miller et al.			
MK	5	5644674	1997-07-01	Aihara et al.			
MK	6	6144375	2000-11-07	Jain et al.			
MK	7	6418421	2002-07-09	Hurtado et al.			
US Published Applications							
Note: Applicant is not required to submit a paper copy of cited US Published Applications							
init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
MK	1	20020194260	2002-12-19	Headley et al.			